



-1-

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Larry Stuart Pendell

Serial No.: Not Yet Assigned

Filed: (herewith)

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

Title: INDUCTION GENERATOR SYSTEM AND METHOD

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicant submits herewith patents, publications or other information of which he is aware, which he believes may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

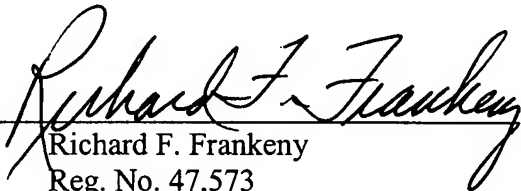
The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the pending patent applications, for which no copies are being submitted.

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

Attorneys for Applicants

By: 

Richard F. Frankeny

Reg. No. 47,573

Kelly K. Kordzik

Reg. No. 36,571

5400 Renaissance Tower
1201 Elm Street
Dallas, Texas 75270-2199
(512) 370-2872

In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANTS' INFORMATION DISCLOSURE
STATEMENT**

Serial No.: Not Yet Assigned
Applicants: Larry Stuart Pendell
Filing Date: (herewith)
Group: Not Yet Assigned
Atty. Docket No.: 23409-P001US

jc828 U.S. PTO
10/05/02
01/24/02

Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
_____AAA	4,786,853	11/22/88	VanMaaren	322	75	
_____ABC	4,808,868	02/28/89	Roberts	310	68	
_____ACA	4,228,391	10/14/80	Owen	322	35	
_____ADA	5,039,933	08/13/91	Dong	322	47	
_____AEA	4,132,932	01/02/79	Wanlass	318	795	
_____AFA	4,242,628	12/30/80	Mohan et al.	322	35	
_____AGA	4,959,573	09/25/90	Roberts	310	68	
_____AHA	6,020,725	02/01/00	Roberts	322	90	
_____AIA	4,896,063	01/23/90	Roberts	310	68	
_____AJA	5,486,739	01/23/96	Roberts	315	277	
_____AKA	5,424,626	06/13/95	Roberts	323	208	
_____ALA	5,434,496	07/18/95	Roberts	323	208	
_____AMA	4,959,573	09/25/90	Roberts	310	68	
_____ANA	4,417,194	11/22/83	Curtiss et al.	322	47	
_____AOA	5,598,079	01/28/97	Robert	318	780	
_____APA	4,808,868	02/28/89	Roberts	310	68	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
_____AQA						
_____ARA						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial

_____ASA "Using Induction Motors as Generators," via Internet at <http://www.smallhydropower.com/induction.htm>, p. 1.

_____ATA "AC Fundamentals," via Internet at <http://onlinetools.chipcenter.com/netsim/tesla/acbasics.html>, pp. 1-4.

_____AUA "Dual Stator Winding Induction Machine Drive," *Wisconsin Alumni Research Foundation*, via Internet at <http://wiscinfo.wisc.edu/warf.boi/p98173us.html>, p. 1.

_____AVA Arthur D. Little. "Distributed Generation: System Interfaces," pp. 1-25.

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.